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New Approaches towards the Synthesis of Alkenes using the Horner-Wadsworth-Emmons (HWE) Reaction as the Key Step

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Leading from our work in asymmetric alkene synthesis it was discovered that the alkenylatio of aldehydes with phosphonates proceeds smoothly at room temperature in the absence of Lewis acidusing triethylamine as the base if the reaction is carried out at a pressure of 8 kbar. Based on this protoco a new domino process was developed, combining the HWE reaction with a Heck coupling, thus allowing the one pot synthesis of trisubstituted alkenes.

Keywords: Horner-Wadsworth-Emmons reaction; Heck reaction; High Pressure Palladium; Alkenes

The reaction of aldehydes or ketones with phosphonates – the Horner-Wadsworth-Emmons (HWE) reaction – is one of the most versatile methods for the synthesis of alkenes. Recently, chiral variants^[1] of this transformation have been developed, using both strategies being available for rendering a reaction asymmetric if no new stereocenters are formed at the reaction centers:

- (1) Using *meso*-aldehydes or *meso*-ketones their symmetry may be broken, thus creating a remote stereocenter, by reaction with a chirally modified phosphonate.
- (2) Using racemic aldehydes, enantiomeric enriched product may be formed in a process of kinetic or dynamic kinetic resolution. The key feature in this approach is the ability of the chiral phosphonate to preferentially react with one aldehyde enantiomer leaving the other behind unchanged.

R

CHO

$$k_1$$
 k_1
 k_1
 k_2
 k_1
 k_2 : kinetic resolution

2 is the major product; max. yield = 50%

 k_1
 k_2
 k_2
 k_3
 k_4
 k_4
 k_5
 k_5
 k_5
 k_5
 k_5
 k_5
 k_6
 k_7
 k_8
 k_8

The latter strategy has been extensively studied in our laboratories in collaboration with T. Rein, University of Copenhagen^[2-5]. Both, kinetic resolutions (α -alkoxy- and α -aminoaldehydes) and dynamic kinetic resolutions (α -aminoaldehydes) have been achieved using phosphonate esters such as 4 bearing –(8)-phenylmenthol as the chiral auxiliary. Moreover, it has been demonstrated that the major aldehyde enantiomer being selected by the chiral phosphonate can be controlled by modifying the *achiral* reaction conditions.

The major drawback of all strategies described here is that the overall transformation is stochiometric both in metal base and in the chiral information being employed. We therefore investigated the possibility of creating a catalytic alkenylation process. Based on the discovery by Roush an Masamune^[6] that a HWE-reaction proceeds in the presence of stochiometric amounts of triethylamine and lithium chloride serving as a Lewis acid, we were screening for metal complexes which would carry out the alkenylation catalytically. Unfortunately, we had only limited success in this endeavor so far, finding no metal which would give significant turn over of >3-4. Since we had previously demonstrated that turn over cycles in palladium catalyzed cross coupling reactions can be dramatically increased by applying pressures of 8 to 10 kbar in solution^[7], we also applied this technique in HWE reactions. Interestingly, we discovered that HWE reactions of aldehydes with phosphonate 8a when carried out at 8 kbar in the presence of triethylamine smoothly gave rise exclusively to the (E)-alkenes 9 at room temperature in the absence of any Lewis acid. No reaction at normal pressure is observed under these conditions.

Aromatic $(7a \rightarrow 9a)$ and α -alkoxylaldehydes $(7d \rightarrow 9d)$ react particularly well under these reaction conditions, while aliphatic aldehydes give somewhat lower yields $(7b \rightarrow 9b, 7c \rightarrow 9c)$. Ketones react sluggishly at room temperature but undergo alkenylation under this protocol if the reaction temperature is raised to $50-80^{\circ}$ C.

If there is the possibility of isomerization of the alkene initially formed to a trisubstituted alkene the deconjugated alkene is often formed as a byproduct or may even become the major product. Thus, in the reaction of 10 the deconjugated alkene 11 is formed as the only regio- and diastereomer. Using phosphonates with smaller substituents such as 8b, the (Z)-alkene is also obtained (cf. 12).

Using this alkenylation protocol, a new domino process could be designed for the synthesis of trisubstituted alkenes by combining a HWE and a Heck reaction. Thus, subjecting a mixture of an aldehyde 7, a phosphonate 8 and an aryl iodide 13 in the presence of triethylamine and catalytic amounts (3 mol%) of palladium acetate to 8 kbar pressure at 80°C, 14a-g can be obtained in good yields.

Pressure proved to be beneficial not only for the alkenylation step but also for the subsequently following arylation via a Heck reaction, since disubstituted alkenes are generally considerably less reactive in such coupling reactions than monosubstituted ones. The pressure protocol used here compares well to reported protocols of the Heck reaction on disubstituted alkenes at normal pressure with respect to reaction temperature, catalyst loading and yield^[8,9]. However, in the case of R \neq Ar, mixtures of (E)/(Z) isomers are formed, as was also noted in Heck reactions with cinnamic esters under normal pressure conditions. In case of the cinnamic nitriles, the Heck reaction proceeds stereospecific at 8 kbar as well as under normal pressure^[9], however, the initial alkene formation with 8b results in a mixture of E/Z isomers.

Such domino reactions might be interesting for combinatorial processes, especially given the fact that multiple high pressure reactions can be carried out at the same time by individually sealing the reaction mixtures in small teflon bags^[10].

In conclusion, new protocols could be developed allowing the combination of the HWE reaction with a palladium catalyzed coupling reaction. Further investigations of this concept are currently under way in our laboratories.

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